

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 5

Complete if Known

Application Number	09/776,250
Filing Date	February 1, 2001
First Named Inventor	David Berd
Art Unit	1643
Examiner Name	Karen A. Canella, Ph.D.
Attorney Docket Number	061266-5004-00

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/KAC/		US- 5,747,269	5/5/1998	Rammensee et al.	
/KAC/		US- 5,487,982	1/30/1996	Salter	
/KAC/		US- 5,827,516	10/27/1998	Urban et al.	
/KAC/		US- 6,458,369	10/1/2002	Berd	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
Signature

/Karen A. Canella, Ph.D./

Date

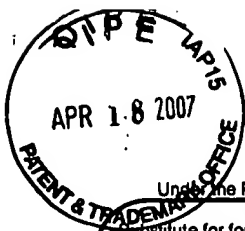
Considered

06/18/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/776,250
Filing Date	February 1, 2001
First Named Inventor	David Berd
Art Unit	1643
Examiner Name	Karen A. Canella, Ph.D.
Attorney Docket Number	061266-5004-00

Sheet 2 of 5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/KAC/		D. Berd et al., U.S. Patent Application No. 08/203,004, filed February 28, 1994.	
		D. Berd et al., U.S. Patent Application No. 08/479,016, filed June 7, 1995.	
		David Berd, U.S. Patent Application No. 08/899,905, filed July 24, 1997.	
		David Berd, U.S. Patent Application No. 08/942,794, filed October 2, 1997.	
		D. Berd et al., U.S. Patent Application No. 09/447,897, filed November 23, 1999.	
		Sato et al., Cancer Invest., 1997;15:98-105.	
		Kim et al., Eur. J. Immunol., 1992;22:775-782.	
		Sherman et al., J. Immunol., 1978;121:1432-1436.	
		Grabbe et al., Immunol.Today, 1995;16:117-121.	
↓		Siena et al., Exp. Hematol., 1995;23:1463-1471.	

Examiner
Signature

/Karen A. Canella, Ph.D./

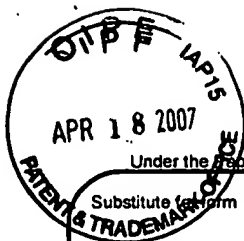
Date
Considered

06/18/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/776,250
Filing Date	February 1, 2001
First Named Inventor	David Berd
Art Unit	1643
Examiner Name	Karen A. Canella, Ph.D.
Attorney Docket Number	061266-5004-00

Sheet

3

of

5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/KAC/		Sahasrabudhe et al., J. Immunol., 1993;151:6302-6310.	
		Shamamian et al., Cancer Immunol. Immunother., 1994;39:73-83.	
		Cox et al., Science, 1994;264:716-719.	
		Peoples et al., J. Immunol., 1993;151:5481-5491.	
		Jerome et al., Cancer Res., 1991;51:2908-2916.	
		Morioka et al., J. Immunol., 1994;153:5650-5658.	
		Burrows et al., J. Neurosci. Res. 1997;49:107-116.	
		Gavin et al., Eur. J. Immunol., 1994;24:2124-2133.	
		Edman et al., Eur. J. Bio-chem., 1967;1:80-91.	

Examiner
Signature

/Karen A. Canella, Ph.D./

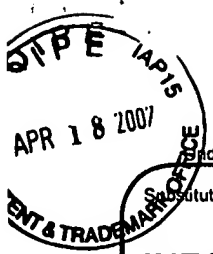
Date
Considered

09/04/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/776,250
Filing Date	February 1, 2001
First Named Inventor	David Berd
Art Unit	1643
Examiner Name	Karen A. Canella, Ph.D.
Attorney Docket Number	061266-5004-00

Sheet 4 of 5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/KAC/		Kempkes et al., J. Immunol., 1991;147:2467-2473.	
		Jang et al., Eur. J. Immunol., 1991;21:1303-1310.	
		Pistor et al., J. Invest. Dermatol., 1995;105:92-95.	
		Nalefski and Rao, J. Immunol., 1993;150:3806-3816.	
		Carlsson, et al., Biochem J., 1978;173:723-737.	
		Miller et al., J. Immunol., 1976;117:191-196.	
		Brown, "Albumin structure, function and uses", Rosenoer, V.M. et al. (eds.), Pergamon Press:Oxford, pp. 27-51, 1977.	
		Weitkamp, L.R., et al., Ann. Hum. Genet., 1973;37:219-226.	
		Hood et al., "Tolerance and the Regulation of Immunity", Second Edition, 1984, Benjamin-Cummings: Menlo Park, CA, pp. 366-401.	
↓		McCune et al., Cancer, 1979;43:1619-1623.	

Examiner Signature	/Karen A. Canella, Ph.D./	Date Considered	09/04/2007
-----------------------	---------------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/776,250
Filing Date	February 1, 2001
First Named Inventor	David Berd
Art Unit	1643
Examiner Name	Karen A. Canella, Ph.D.
Attorney Docket Number	061266-5004-00

Sheet	5	of	5
-------	---	----	---

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/KAC/		Pulaski et al., Cancer Res., 1998;58:1486-1493.	
		Berd et al., J. Clin. Oncol., 1997;15:2359-2370.	
		Rennke et al., Kidney International, 1994;45:1044-1056.	
		Heppner et al., Cancer Res. 1978;38:3758-3763.	
		Miller et al., Cancer Res., 1981;41:3863-3867.	
↓		Miller, Invasion Metastasis, 1981;1:220-226.	

Examiner Signature	/Karen A. Canella, Ph.D./	Date Considered	09/04/2007
-----------------------	---------------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.